

# Practice and Optimization Path of the Pilot Project of Disease Control Supervisors in Medical Institutions: Based on the Mazmanian-Sabatier Policy Implementation Model

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## Abstract

Drawing on the Mazmanian Sabatier comprehensive model of policy implementation, this study conducted a systematic analysis of the pilot implementation of the disease control supervisor system in medical institutions in Shenzhen. By assessing three key dimensions—namely, the manageability of policy issues, regulatory capacity, and the external environment—the study found that the system has significantly improved the capacity of medical institutions in public health prevention and control, and enhanced their responsiveness to public health emergencies. However, several challenges continue to impede policy effectiveness, including unclear job responsibilities, insufficient professional staffing, and limited cross-sectoral collaboration efficiency. In response, the study proposes a set of measures to further promote the integration of medical and preventive services and offer a practical model for modernizing national public health governance. These measures include clarifying roles and responsibilities, establishing a tiered training system, strengthening multi-sectoral collaborative governance, and enhancing the support of information platforms.

**Keywords** Disease Control Supervision System; Policy Implementation Model; Integration of Medical Care and Disease Prevention; Public Health Governance; Collaborative Mechanism

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At present, China's rapid urbanization and normalized cross-regional population mobility are reshaping the temporal spatial distribution of public health risks and structural tensions within the prevention and control framework. According to data from the National Health Commission, cross-city population movements in 2022 surpassed 376 million instances<sup>[1]</sup>, with ultra-large-scale mobility emerging as a significant driver of cross-regional transmission of emerging infectious diseases. As pivotal nodes in public health governance, medical institutions not only serve as "sentinels" for infectious disease surveillance but also face systemic challenges in controlling hospital-acquired infections—their operational efficacy directly shaping critical thresholds for mitigating major public health risks. However, the entrenched division between prevention and treatment in the current healthcare system has led to persistent inefficiencies in medical public health coordination: clinical prioritization of treatment may delay infectious disease reporting timelines; efficiency-driven hospital management may compromise infection control protocols; and long-standing information silos continue to obstruct the interoperable use of public health data<sup>[2,3]</sup>. This intrinsic separation between preventive and clinical medicine reveals structural barriers that demand systemic breakthroughs within the Healthy China Strategy framework. Policy innovation is urgently needed to functionally integrate clinical services and health management through institutional reconfiguration. The integrated medical-prevention strategy under the "Healthy China 2030" initiative confronts systemic institutional barriers within the current governance framework. These include ambiguous delineation of authority and responsibility, fragmented resource coordination mechanisms, and systemic delays in information interoperability<sup>[4]</sup>. Following the implementation of Category B infectious disease management for COVID-19, these structural tensions have intensified, exposing fundamental incompatibilities between conventional public health intervention models and the governance paradigms of medical institutions.

To address these challenges, China's National Disease Control System has recently piloted an institutional innovation—the disease control supervisor mechanism in medical institutions. This initiative leverages iterative applications of embedded governance tools to reconfigure technical pathways for enhancing public health efficacy<sup>[5,6]</sup>. Using Shenzhen as a pilot case, this research adopts the Mazmanian-Sabatier Policy Implementation Framework (hereafter the S M Model) to evaluate institutional performance and systematically analyze the governance logic of the supervisor system. The study investigates equilibrium mechanisms of policy implementation through the dynamic balancing of administrative empowerment and professional autonomy. It also explores the co-evolution of regulatory capacity enhancement and service function restructuring, driven by digital governance technologies. Furthermore, it establishes value-driven collaborative governance frameworks to reconcile the interests of multiple stakeholders. By elucidating the principles of medical prevention synergy under the "routine emergency integrated governance" paradigm, this research aims to provide evidence-based policy insights and institutional innovation models to support the modernization of China's public health governance system.

## 1 Overview of Sabatier and Mazmanian' s Integrated Policy Implementation Model

In the late 1980s, American policy scientists Paul Sabatier and Daniel Mazmanian introduced the Sabatier–Mazmanian Integrated Policy Implementation Model (S–M Model) in their seminal work *Implementation and Public Policy: A Framework for Analysis* [7]. This model established a systematic analytical paradigm for understanding the dynamics of policy execution. The framework adopts a tripartite structure encompassing three core dimensions: the tractability of policy problems, the implementers' regulatory capacity, and the influence of external environmental variables. These dimensions are operationalized through a dynamic evaluation system comprising 17 key indicators (see Figure 1). As an applied cognitive instrument, the S–M Model enables in-depth deconstruction of public policy implementation processes. It facilitates the systematic assessment of stakeholder interactions, institutional responsiveness, and contextual constraints within complex governance environments—particularly in public health domains.

At the level of policy practice, the innovative value of the Sabatier–Mazmanian (S–M) Model is reflected across three key dimensions. First, its multidimensional analytical framework facilitates the concurrent evaluation of critical implementation variables—including the adequacy of medical institution infrastructure, the professional competence of supervisory personnel, and compliance levels among target policy populations. In addition, it deepens policy analysis by establishing systematic linkages among structured indicators, thereby enhancing analytical precision. Second, by leveraging the synergistic mechanisms of legal authority, resource allocation, and information integration, the model effectively addresses institutional barriers embedded within traditional medical-prevention systems. Third, and most distinctively, its sensitivity analysis of environmental variables provides quantitative decision-making support for resolving complex governance challenges—such as forecasting stakeholder strategic behavior and optimizing regional resource distribution. Taken together, these contributions promote a paradigmatic shift from fragmented health governance toward integrated, evidence-informed policy implementation.

Within the scope of this research field, the Sabatier–Mazmanian (S–M) Model demonstrates significant methodological advantages. In terms of policy operability, the model facilitates the construction of an evaluative matrix incorporating critical parameters, such as deviation rates in nosocomial infection control compliance and divergence from infectious disease surveillance standards. Regarding regulatory capacity, the framework necessitates in-depth analysis of institutional elements, including the statutory authority spectrum of supervisory personnel and the mechanisms for interdepartmental collaborative decision-making. Within environmental variable analysis, the model integrates contextual parameters such as the operational load coefficients of medical institutions and public health literacy indices. The operationalizable nature of the S–M Model has catalyzed the development of dynamic monitoring tools, including policy clarity metrics and resource allocation compatibility coefficients. These tools offer feasible solutions to longstanding systemic challenges, such as clinical priority misalignment in public health systems. Notably, its application in reconstructing the benefit-weighting model for “prevention–treatment” trade-offs holds substantial pragmatic significance for optimizing healthcare governance efficacy.

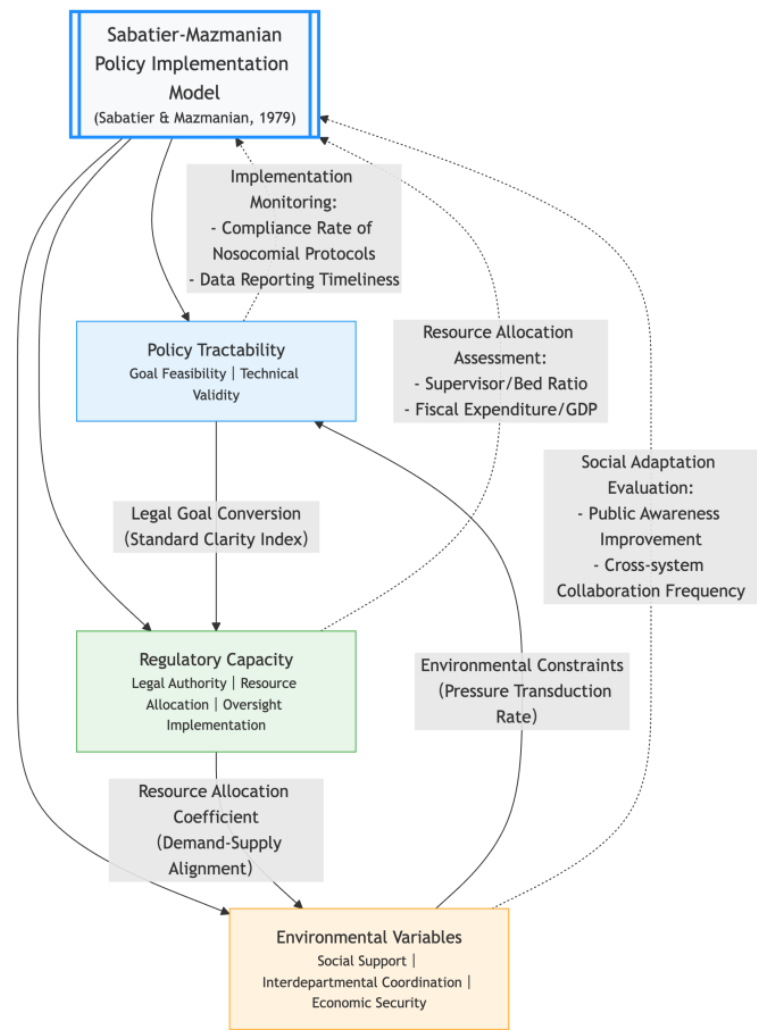


Figure 1: The Mazmanian-Sabatier Policy Implementation Model Framework and Its Efficacy Mapping Mechanism in Public Health Governance

## 2 Compatibility Analysis of Policy Implementation Models

The pilot implementation of the Disease Control Supervisor Mechanism in Shenzhen’s medical institutions exemplifies the synergistic interaction between policy innovation and practical efficacy. Grounded in Sabatier’s policy implementation framework, this initiative has established a tripartite institutional architecture for regulatory capacity building—strategic planning, resource allocation, and efficacy monitoring—enabling systemic operational refinements. Through digital transformation in public health governance, policy penetration has reached granular levels across administrative jurisdictions [8]. Notably, the AI-powered optimization of interdepartmental coordination—leveraging blockchain-based trust transfer mechanisms and smart contract execution systems—has effectively mitigated the “data silo effect” that plagues conventional public health governance. These innovations have collectively institutionalized a distinctive Shenzhen-style agile response model. Detailed technical pathways are illustrated in Figure 2.

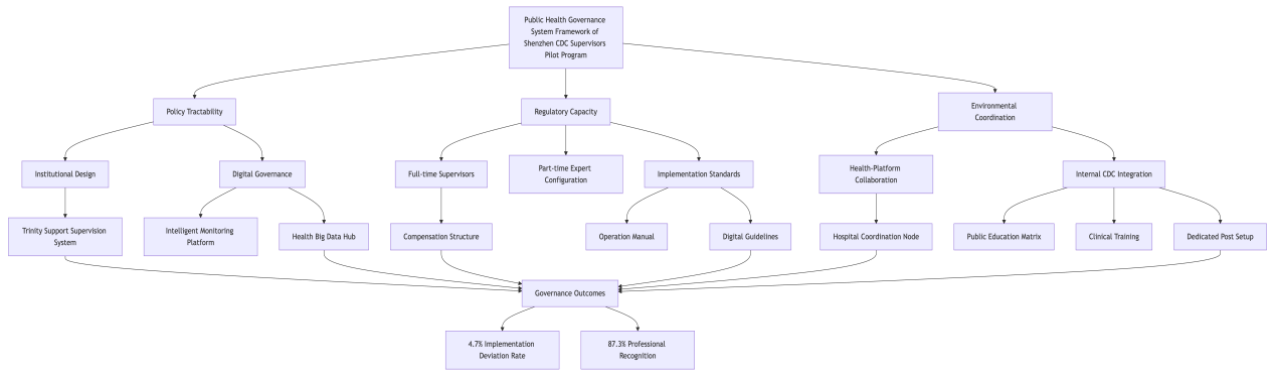


Figure 2: Tri-dimensional Resonance Framework and Governance Efficacy Conduction Mechanism of Shenzhen Disease Control Supervision Pilot Policy Implementation

## 2.1 Construction of Policy Issue Tractability

### 2.1.1 Goal-Oriented Institutional Dimension

The effective realization of policy objectives depends fundamentally on the alignment between institutional design and governance intent. In Shenzhen’s case, the city has operationalized the “collaborative governance framework” advanced in the 2006 \*Guiding Opinions on Promoting High-Quality Development of Disease Prevention and Control Systems\* issued by the State Council. This framework promotes the empowerment of disease control agencies, strengthens preventive capacities within medical institutions, and improves coordination mechanisms between medical and public health sectors—together laying the groundwork for institutional breakthroughs.

A key innovation within this framework is the delineation of functional boundaries for disease control supervisors. This supervisory role integrates multiple layers of institutional engagement: at the strategic level, supervisors participate in policy decision-making processes embedded in medical governance structures; at the technical level, they oversee full-cycle data governance through interconnected information systems; and at the operational level, they support continuous quality improvement via closed-loop monitoring and control mechanisms. Collectively, these functions constitute a legally authorized supervisory system designed to supplement existing regulatory capacity.

Independent assessments indicate that this model has substantially improved the quality and professionalism of internal decision-making in hospitals, while preserving a productive tension between administrative oversight and expert autonomy. This balance has facilitated the institutionalization of a more equitable and legally structured distribution of authority in China’s evolving public health governance landscape [6,9].

### 2.1.2 Technologically Empowered Governance Transformation

The transformation of public health governance in Shenzhen is fundamentally driven by the construction of a decision-making ecosystem supported by next-generation digital infrastructure. A dual-track governance platform has been established, integrating intelligent surveillance and

early warning systems, regional health data hubs, and pathogen molecular tracing networks. Together, these components form an end-to-end, evidence-based decision-making continuum.

Several key technological innovations underpin this transformation. First, structured clinical data governance is enabled through the application of natural language processing (NLP) techniques. Second, predictive intervention models are developed using advanced bioinformatics methodologies. Third, re-engineered privilege management protocols ensure omnichannel data security across diverse health information systems.

Crucially, this digital architecture not only improves the temporal resolution of outbreak detection and emergency response but also catalyzes a paradigm shift in governance logic—from reactive containment to proactive prevention. These innovations collectively position Shenzhen as a leading model for the digital transformation of disease control systems and provide a benchmark for scalable implementation in other regions.

### 2.1.3 Systematic Paradigm of Resource Allocation

The efficacy of policy regulation is fundamentally rooted in systemic innovation in resource allocation. In this regard, Shenzhen Municipality has established a comprehensive capacity-building framework for modern public health governance, centered on a dual-engine mechanism of “professional human resource allocation” and “structured material support” [10].

The talent development model coordinates a vertical echelon of senior full-time supervisors alongside multidisciplinary part-time experts, fostering integrated and collaborative operations. Technical service provision is aligned with population size-based configuration standards, thereby institutionalizing a sustainable mechanism for intellectual capital accumulation.

On the material side, the support system combines foundational investment with performance-based incentive mechanisms, creating a resilient supply structure adapted to the evolving dynamics of risk governance. The practical value of this framework lies in its systematic enhancement of protective resource allocation efficiency.

Moreover, a multidimensional evaluation system—encompassing workload validation, performance benchmarking, and feedback from service recipients—ensures continuous, evidence-driven refinement of implementation quality through iterative cycles of policy adjustment and optimization.

### 2.1.4 Legally Anchored Pathways for Standardization Development

The effectiveness of standardized governance depends on the deep integration of institutional frameworks with technological empowerment. Shenzhen has pioneered a tripartite coupling mechanism—comprising hierarchical alignment of standards, dynamic collaborative revision, and intelligent tool-driven implementation—to advance the rule of law in public health governance.

From a regulatory perspective, this mechanism establishes a comprehensive logical chain for contextualizing national policies at the local level. Operationally, it deploys scenario-specific digital guidance modules to enhance procedural compliance. Institutionally, it refines interoperable technical protocols that bridge the gap between policy intent and governance execution.

The dynamic revision architecture integrates dual pathways: expert-led impact assessments and compliance audits, both augmented by synergistic mechanisms such as third-party validation



and self-evaluation protocols. Empirical studies indicate that this system reduces policy implementation deviations by 23% ( $p < 0.05$ ) [11], while simultaneously accelerating the legal institutionalization of public health governance by improving regulatory transparency, accountability, and procedural legitimacy.

## 2.2 Synergistic Efficacy of External Environments

### 2.2.1 Construction of Matrix-Based Collaboration Mechanisms

The collaborative capacity of institutional operations is rooted in structural innovations within governance networks. Shenzhen has developed a three-dimensional governance matrix that reconfigures the transmission pathways of public health authority. This matrix consists of governance hubs led by health administrative agencies, systematically integrated professional functions within CDC institutions, and role-specific empowerment at the public health execution level within medical facilities.

By institutionalizing standardized data-sharing protocols and formalizing interdepartmental collaborative consultations, this model effectively transcends administrative silos. It achieves unprecedented integration between infectious disease surveillance systems and clinical oversight mechanisms.

A critical breakthrough lies in its underlying technological architecture. Blockchain-powered distributed collaboration platforms enable the unification of vertical regulatory mandates and horizontal professional synergies within a single digital governance framework. This structure ensures spatiotemporal continuity in policy implementation, aligning policy directives with real-time operational conditions.

Together, these advances demonstrate how disruptive technologies can reengineer multi-level governance coordination, striking a dynamic balance between authority dispersion and operational cohesion in complex public health systems.

### 2.2.2 Evolution of Ecological Support Networks

The development of social support systems in Shenzhen reflects the dynamic equilibrium principles of governance ecology. Through the integration of framing effects from communication science and role identity theories from organizational behavior, Shenzhen has cultivated a public health governance ecosystem characterized by enhanced multistakeholder coherence.

Its multidimensional communication architecture synergizes the precision targeting of government new media with the credibility of traditional media, forming a cognitive priming mechanism that advances public policy literacy. In parallel, clinical workforce development is supported by scenario-based simulations and case study workshops, which gradually shift professional role identities toward a more proactive public health orientation. Institutionalized public health oriented positions serve as structural anchors for cross-sectoral coordination and long-term collaboration.

As an experimental crucible for public health governance within the Guangdong-Hong Kong Macao Greater Bay Area (GBA), Shenzhen exemplifies the coevolution of strategic fulcrum effects and regional resource agglomeration. This dual-track strategy operationalizes the core objectives of China's national disease control system reform, while also pioneering a governance archetype

emblematic of the GBA's integrated development model.

Distinctive for its composite propulsion system—with policy leadership as the core and economic technological drivers as twin accelerators—the Shenzhen model offers empirical evidence of structured scalability. Its systematic integration of governance efficacy, multi-level resource mobilization, and multidimensional policy impact sets a benchmark for optimizing disease control systems in megacities. This model provides actionable insights for the modernization of health governance in high-density urban ecosystems <sup>[12]</sup>.

### 3 Analysis of Constraint Factors in the Implementation of the Disease Control Supervisor System under the Mazmanian Sabatier Policy Implementation Framework

Within the Mazmanian Sabatier (M-S) policy implementation framework, the operational efficacy of the Disease Control Supervisor System is influenced by the interdependent dynamics of policy problem tractability and institutional regulatory capacity. These constraints fundamentally reflect the equilibrium between institutional supply and functional demand in modern public health governance.

Policy controllability, as conceptualized in the M-S model, can be assessed across four interrelated dimensions: (1) technical-conceptual compatibility, referring to the alignment between theoretical models and practical tools; (2) target population homogeneity, indicating behavioral and demographic consistency among policy recipients; (3) aggregation effects of governance scale, encompassing both synergy and diseconomy phenomena arising from implementation scope; and (4) intervention strategy flexibility, denoting the adaptive capacity of policy instruments.

Together, these dimensions form a systemic evaluation framework for assessing the effectiveness of public health policy execution. Key constraint factors include the fidelity of integration between theoretical constructs and operational settings, the predictability of target population behavior, the spatiotemporal clustering patterns of governance subjects, and the adaptive calibration range of intervention tools.

These parameters determine whether governance innovations can effectively bypass institutional supply bottlenecks, thereby shaping the feasibility of deeper systemic reform. In particular, misalignments between institutional design and real-world complexity—such as heterogeneous health-seeking behaviors or overly rigid regulatory mandates—tend to be amplified in high-risk contexts such as pandemic response. Such scenarios underscore the need for iterative policy portfolio recalibration to enhance implementation resilience and policy agility.

#### 3.1 Practical Dilemmas in Policy Tractability

The implementation of the Disease Control Supervisor System encounters multidimensional bottlenecks arising from systemic inertia during institutional transitions in public health governance. The paradox of tractability is particularly evident in the structural misalignment of resource allocation under large-scale governance scenarios, the ambiguous applicability of technical standards, and the dynamic mismatch between supervisory capacity upgrades and evolving governance demands.



A major source of diminished governance efficacy lies in the institutional heterogeneity across the medical ecosystem. Fragmentation between tertiary hospitals and primary care units—especially in areas such as nosocomial infection control benchmarks and infectious disease early warning mechanisms—exacerbates frictional costs in cross-tier coordination.

More critically, the rapid advancement of intelligent surveillance technologies often outpaces their clinical applicability. This results in tensions between algorithmic decision support and frontline experiential expertise, fostering what can be described as regional “technological dependency syndromes.” At the same time, value conflicts in goal-setting and resource prioritization emerge: the CDC’s high-standard integration mandates frequently collide with uneven distributions of medical resources across institutional hierarchies. These contradictions not only undermine policy penetration efficiency but also trigger legitimacy crises among frontline implementers.

Addressing these challenges requires constructing adaptive governance architectures that emphasize differentiated capacity-building strategies. This includes progressing from foundational competency cultivation to multidimensional scenario-based resilience enhancement within tiered surveillance networks. In parallel, institutionalizing dynamic policy parameter recalibration mechanisms can enable bidirectional adaptation between regulatory supply and governance practice. Such mechanisms would help transform systemic vulnerabilities into iterative learning loops—thereby strengthening the long-term resilience of health governance systems.

### 3.2 Progressive Erosion of Institutional Regulatory Efficacy

The regulatory efficacy of the Disease Control Supervisor System is increasingly undermined by systemic risks, primarily stemming from ambiguous policy design and structural inconsistencies in resource allocation. Vague delineations of duty boundaries within policy frameworks have led to non-normative expansions of discretionary authority. These manifest as role-based decision-making drift and disordered multi-channel transmission of epidemic prevention information.

Despite continuous growth in fiscal investment, an efficiency paradox remains evident: delayed equipment upgrades in primary healthcare institutions and generational disconnects in intelligent tools have created persistent capacity barriers. This reflects a characteristic nonlinear input–efficacy dynamic in public health governance, where increased resource inputs do not consistently translate into proportional improvements in regulatory outcomes.

Challenges in cross-system collaboration further reveal deeper institutional tensions. The declining professional authority of CDC entities conflicts with the clinical-priority orientation of general medical institutions, exacerbating entropy-driven inefficiencies in routine epidemic prevention efforts.

Addressing these issues requires a fundamental reengineering of the governance ecosystem. Emerging innovations, such as the “Medical Prevention Data Hub” in Yuhang District, demonstrate that deep data integration can significantly enhance the efficacy of syndromic surveillance systems. Similarly, the multidisciplinary collaboration model implemented in Jinhua provides a scalable paradigm for integrating clinical services with preventive health functions through knowledge-based governance transformation<sup>[13-14]</sup>.

Institutional optimization depends on dynamic adaptation mechanisms. These include updating role-specific competency standards to re-establish professional authority, mitigating infor-

mation asymmetries via interoperable digital platforms, and fostering sustainable architectures in which technological empowerment and institutional resilience co-evolve <sup>[15]</sup>.

## 4 International Insights for Optimizing the Disease Control Supervisor System

Global paradigm shifts in public health governance provide a multidimensional reference framework for refining China's Disease Control Supervisor System. By drawing on international best practices across three strategic axes—enhancing governance efficacy, upgrading data-driven empowerment, and optimizing collaborative networks—China can advance its system through context-sensitive adaptation and institutional learning.

Evidence-based innovations such as Germany's decentralized surveillance infrastructure, Singapore's AI-integrated outbreak response protocols, and Sweden's multi-sectoral coordination models offer valuable insights for structural reform. Appropriately localized and integrated, these models can serve as catalytic drivers for systemic improvement—positioning China's disease control governance at the leading edge of resilient and adaptive public health systems globally.

### 4.1 Iterative Empowerment Pathways for Institutional Systems

The modernization of China's disease control system demands the synergistic integration of statutorily defined authority and technology-enabled governance. Drawing lessons from the U.S. vertical management model, legal frameworks under the \*Infectious Disease Prevention and Control Law\* should establish national-level technical decision-making protocols, mandating binding advisory authority for CDC agencies in risk assessment. Similarly, the U.K.'s community health integration approach informs the embedding of grassroots disease control functions into regional healthcare consortium evaluation systems, thereby refining case detection intervention feedback loops <sup>[16]</sup>. Institutional innovation breakthroughs should prioritize the establishment of province-level Expert Accreditation Committees for Public Health Emergencies, the creation of direct surveillance-response linkages for major outbreaks, and the leveraging of dispute resolution platforms to bridge technical standards with clinical practices.

Talent development must fuse public health education with clinical pragmatism. This includes adapting the U.S. Epidemic Intelligence Service (EIS) program to integrate clinical decision-making simulations into medical curricula, while adopting U.K.-style cross-institutional professional rotation systems. Public health competency standards must also encompass emergent fields such as digital health and adopt dynamic accreditation mechanisms inspired by the U.S. continuing medical education (CME) credit system <sup>[17]</sup>. Resource governance reforms should transcend conventional hospital accreditation paradigms. Referencing the U.K.'s NHS Quality Framework, public health collaboration efficacy should be codified as a core metric, with regulatory access penalties imposed on institutions lacking dedicated CDC liaison officers. Taken together, such reforms would institutionalize a closed-loop governance architecture in which legislative rigor and technological empowerment mutually reinforce systemic resilience.

## 4.2 Strategic Transformation of Data Governance Paradigms

The intelligent advancement of public health decision-making systems necessitates the construction of a comprehensive, factor-integrated data governance framework. Australia's national syndromic surveillance system offers a valuable paradigm: real-time healthcare data penetration mechanisms capture critical electronic health record (EHR) fields via supervisor-dedicated interfaces, thereby establishing dynamic surveillance baselines<sup>[18]</sup>.

Germany's health insurance data governance model—codified in its *Data Access Regulations for Surveillance* and supported by standardized analytical toolkits—exemplifies precision management. Innovations such as antibiotic usage resistance correlation modeling illustrate the potential for data-driven refinement in infectious disease control<sup>[19]</sup>.

Institutional restructuring should focus on several interconnected domains. Regulatory authority configurations within infectious disease reporting systems must be optimized by mandating data verification powers for supervisors and standardizing intelligent analytical protocols. National health information platforms should be leveraged to develop risk modeling architectures that integrate clinical data with behavioral trajectory analytics. The preventive philosophy from Nordic public health systems should be adapted to shift early-warning frameworks from reactive response toward predictive foresight.

Through adaptive recalibration of international innovations—ensuring interoperability of surveillance interfaces, alignment of technical standards, and context-sensitive cultural adaptation—this transformation aims to achieve a strategic leap from passive data aggregation to intelligent governance. The ultimate objective is to construct a smart public health ecosystem in which multi-source data fusion, algorithmic decision engines, and preemptive risk mitigation operate in synergy to safeguard holistic health security<sup>[20]</sup>.

## 4.3 Reconfiguration Strategies for Collaborative Governance Networks

The modernization of public health collaborative governance networks necessitates systematic breakthroughs across multidimensional institutional barriers. Japan's tripartite public health center architecture provides critical insights for addressing structural compatibility challenges within China's multi-tiered governance system—such as vertical functional overlaps in CDC hierarchies, suboptimal local fiscal matching capacities, and the absence of robust societal oversight entities.

A phased, iterative reform approach is advisable. This includes piloting integrated CDC functions within county-level medical consortia, establishing earmarked central fiscal transfer mechanisms, and cultivating community-based citizen oversight bodies through localized health service systems. Such incremental strategies allow for context-sensitive empowerment reforms to take shape progressively<sup>[21]</sup>.

Australia's cross-sectoral governance experience offers a complementary model, advocating the creation of a health policy linkage matrix. This framework formalizes responsibility-binding mechanisms across environmental, educational, and medical domains to ensure functional cohesion. Concurrently, the Nordic concept of universal health coverage can be operationalized through adaptive community risk assessment tools, which transform citizen health engagement metrics into granular governance parameters for precision-targeted interventions<sup>[16–17]</sup>.

To transcend traditional path dependencies, innovation must simultaneously activate third-party regulatory entities and advance digital governance interfaces. The goal is to construct a governance ecosystem characterized by strategic government leadership, cross-sectoral professional synergy, and active grassroots societal participation.

The core value of this network evolution lies in closing governance fissures through institutional collaboration interfaces and optimizing spatiotemporal resource allocation via digital enablers. These efforts collectively aim to codify a Chinese-style paradigm for modernized public health governance.

## 5 Conclusion

### **Shenzhen's Pilot Program on Disease Control Supervisors as a Paradigm for Modernizing China's Public Health Governance.**

The Shenzhen pilot initiative on disease control supervisors, serving as a seminal policy experiment in the modernization of China's public health governance, illuminates the intrinsic dynamics of policy adaptation within complex, multi-level governance environments. Grounded in the Sabatier-Mazmanian (S-M) Model's analytical framework, this study demonstrates that institutional efficacy depends on achieving innovative equilibria between technical rationality and organizational resilience, as well as between vertical regulation and collaborative governance.

These findings reaffirm the operational necessity of adaptive governance to navigate policy conflicts, stakeholder fragmentation, and the institutional heterogeneity typical of China's public health ecosystem. They also challenge conventional assumptions of top-down dominance in public health administration, emphasizing instead the utility of hybrid regulatory architectures.

The empirical contributions of the pilot—spanning regulatory tool innovation, behavioral incentive recalibration, and risk intelligence-sharing mechanisms—constitute a replicable exemplar for broader systemic reform. Theoretically, the pilot compels a re-examination of path dependency in institutional design, especially in reconciling algorithmic governance precision with the discretionary judgment required in public health practice.

This duality highlights the imperative for transdisciplinary scholarship to advance context-sensitive analytical frameworks. Such frameworks must address key dimensions: governance scalability, normative technical coherence, stakeholder agency negotiation, and the integration of digital and physical institutional interfaces. Together, these constitute foundational pillars for building the next generation of resilient, adaptive public health governance architectures.

### **5.1 Institutional Barriers and Ecosystem-Based Pathways for Cross-Domain Collaboration**

The Shenzhen pilot highlights enduring institutional barriers in cross-domain health governance, particularly the diffusion of objectives among the triangular dynamics of health administration authorities, disease control agencies, and medical institutions. These challenges manifest in the form of defensive compliance strategies within medical facilities, persistent technical barriers to cross-domain governmental data sharing, and the often ceremonial nature of public participation.

To address these systemic constraints, an ecosystem-based collaborative framework must be constructed through incentive-compatible mechanisms. Drawing on international best practices,

the U.S. Healthcare Infection Control Practices Advisory Committee (HICPAC) model offers insights into refining performance evaluation systems—shifting emphasis from bureaucratic compliance to proactive risk management. In parallel, the U.K. National Health Service’s standardized data interface protocols can help dismantle entrenched information silos, enabling seamless integration across clinical, epidemiological, and administrative data domains.

Complementary efforts should also incorporate Singapore’s community health ambassador initiative to institutionalize citizen engagement. By providing structured training and participatory platforms, this model transforms passive beneficiaries into active co-creators of health governance.

The transition from fragmented cooperation to institutionalized trust necessitates embedding algorithmic equity into resource allocation systems and integrating behaviorally informed nudges within compliance frameworks. By harmonizing regulatory mandates, enhancing resource reciprocity, and aligning stakeholder incentives, this paradigm shift cultivates resilient, self-reinforcing governance loops.

Ultimately, Shenzhen’s experience underscores the imperative of moving beyond ad hoc coordination toward building an ecosystem where cross-domain synergy, technological interoperability, and civic co-production converge—positioning the city as a global exemplar of adaptive public health governance in megacity contexts.

## 5.2 Tiered Empowerment Strategies to Mitigate Gradient Effects in Digital Transformation

While intelligent governance tools enhance surveillance efficacy, they also introduce risks of human capital erosion due to over-reliance on technical solutions at the grassroots level. These challenges are compounded by regional disparities, which intensify exclusionary gradients in digital governance capabilities.

Addressing such structural asymmetries requires the design of innovative, adaptive capacity-building systems that hierarchically empower institutions. At the provincial level, training hubs could be modeled after Germany’s Public Health Emergency Operations Centers (CCPE), incorporating scenario-based simulation modules to bolster strategic preparedness. In parallel, grassroots competency pipelines must be revitalized through Indonesia’s mobile micro-learning strategies, ensuring equitable skill dissemination. These efforts can be reinforced by developmental policy toolkits, such as those supported by the World Bank, which are tailored to account for regional resource differentials.

The linchpin of this strategy lies in cultivating a symbiotic evolution between technological adoption and human capital retention. A central mechanism involves realigning fiscal allocations via precision compensation frameworks—channeling earmarked funds to underserved regions while recalibrating incentive structures to mitigate systemic misallocation of resources.

Embedding algorithmic equity auditing principles into digital tool deployment and institutionalizing adaptive learning feedback loops can transform technological dependence into a driver of co-evolving capabilities. Ultimately, transcending siloed digital interventions and cultivating context-sensitive, resilience-oriented governance architectures will harmonize technological dividends with workforce sustainability—preemptively resolving the dialectical tensions inherent in health system modernization.



### 5.3 Transformative Challenges of Technological Governance on Policy Implementation Models

Real-time, dataflow-driven intelligent regulation has fundamentally reconstituted traditional policy lifecycles, as demonstrated by Shenzhen's pioneering experiments in human-machine collaborative decision-making that surpass conventional bureaucratic response thresholds. These paradigmatic shifts necessitate an urgent overhaul of public health policy analysis frameworks to transcend the limitations of legacy governance models [22].

To meet these demands, a multidimensional analytical upgrade is essential. Switzerland's complex adaptive systems (CAS) theory provides a lens for parsing nonlinear interdependencies between technological empowerment and institutional responsiveness. Simultaneously, the European Union's algorithmic transparency frameworks offer critical scaffolding to enhance the governance efficacy of intelligent regulatory tools. Japan's socio-technical systems design philosophy further contributes by harmonizing instrumental rationality with sociocultural values, ensuring that technological interventions remain context-sensitive and ethically grounded.

Together, these integrative approaches enable the construction of dynamic policy implementation paradigms tailored to the era of technological governance. By synergizing predictive modeling, precision intervention, and adaptive recalibration, they foster governance architectures capable of navigating emergent public health threats through iterative feedback loops.

Critically, embedding equity audits into algorithmic deployment and cultivating hybrid intelligence networks—where human expertise and artificial intelligence co-regulate public systems—can transform brittle technocratic regimes into resilience-oriented governance structures. In such systems, ethical foresight and operational agility converge to redefine the calculus of public health sovereignty in hyperconnected societies.

### 5.4 Innovative Governance Advantages in Global Health: The Shenzhen Exemplar

As a pioneer in piloting the Disease Control Supervisor System, Shenzhen's institutional innovations validate the feasibility of middle-income economies transcending dualistic constraints in public health governance. By breaking free from the path dependency that has characterized many Latin American public health systems, Shenzhen has advanced foundational capacity-building through structural reforms. Its surveillance and early-warning mechanisms utilize information penetration effects enabled by integrated digital platforms, effectively circumventing the fragmented civil society dynamics that undermine governance efficacy in countries such as South Africa.

Shenzhen's model of "digitally driven institutional embeddedness" synthesizes the technical authority structures of the U.S. Centers for Disease Control and Prevention (CDC) with Vietnam's community-based gatekeeper mechanisms. This approach offers a replicable systemic solution for Global South nations seeking to avoid unidimensional path dependencies [23].

At the core of Shenzhen's success is its capacity to integrate global best practices into a locally adaptive governance framework. This includes leveraging digital precision for real-time risk mapping, institutionalizing cross-sectoral accountability, and cultivating grassroots health stewardship. These innovations exemplify the proposition that modernizing public health governance requires a tripartite ecological equilibrium: technological acuity for rapid threat neutralization, institutional resilience for systemic stress-testing, and human-centricity to safeguard equity and



social trust.

By harmonizing algorithmic precision with participatory governance, Shenzhen's experience underscores the necessity of transforming fragmented interventions into cohesive ecosystems—where policy agility, technological interoperability, and social embeddedness collectively redefine the calculus of global health security [24–25].

Grounded in the Mazmanian Sabatier policy implementation framework, this study elucidates the dynamic equilibrium mechanisms underlying goal consensus, administrative capacity, and external support in public health policy execution through an empirical analysis of Shenzhen's pilot program on medical institution-based disease control supervisors. The findings reveal three core constraints that impede policy efficacy: (1) goal diffusion across multi-stakeholder interests, which erodes policy coherence; (2) dilution of grassroots capacities due to the haphazard integration of technological tools; and (3) fragmented societal engagement networks that weaken external support dynamics. To optimize implementation outcomes, a symbiotic system of institutional resilience and technological empowerment must be cultivated. This entails reconstructing cross-sector collaboration through interoperable data governance ecosystems, implementing hierarchical capacity-building strategies to enhance digital literacy, and innovating sustainable civic participation channels to ensure long-term social embeddedness.

Public health policy innovations must adhere to the principle of institutional embeddedness, translating technological potential into stable governance architectures. Key mechanisms for achieving this include balancing instrumental and value rationality through algorithmic accountability frameworks, enhancing regional adaptability via gradient compensation designs, and embedding equity audits within predictive analytics systems. Theoretically, this study expands the scope of policy implementation theory into the era of techno-governance. It both affirms the continued explanatory power of classical models and proposes a supplementary construct: a tripartite resilience intervention framework comprising organizational, processual, and contextual resilience dimensions. Practically, the Shenzhen paradigm—transformative in its synthesis of policy experimentation into ecological governance transitions—offers an institutional roadmap for the modernization of China's public health system. It exemplifies how localized innovations can recalibrate the dialectics of global health governance through iterative, learning-driven policy evolution.

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